A nanoscale shape memory oxide

Jinxing Zhang1,2,*, Xiaoxing Ke3,*, Gaoyang Gou4, Jan Seidel2,5, Bin Xiang6,9, Pu Yu2,7, Wen-I Liang8, Andrew M. Minor9,10, Ying-hao Chu8, Gustaaf Van Tendeloo3, Xiaobing Ren4 & Ramamoorthy Ramesh2,10

Stimulus-responsive shape-memory materials have attracted tremendous research interests recently, with much effort focused on improving their mechanical actuation. Driven by the needs of nanoelectromechanical devices, materials with large mechanical strain, particularly at nanoscale level, are therefore desired. Here we report on the discovery of a large shape-memory effect in bismuth ferrite at the nanoscale. A maximum strain of up to ~14% and a large volumetric work density of ~600 ± 90 J cm⁻³ can be achieved in association with a martensitic-like phase transformation. With a single step, control of the phase transformation by thermal activation or electric field has been reversibly achieved without the assistance of external recovery stress. Although aspects such as hysteresis, microcracking and so on have to be taken into consideration for real devices, the large shape-memory effect in this oxide surpasses most alloys and, therefore, demonstrates itself as an extraordinary material for potential use in state-of-art nanosystems.

1Department of Physics, Beijing Normal University, Beijing 100875, China. 2Department of Physics, University of California, Berkeley, California 94720, USA. 3EMAT (Electron Microscopy for Materials Science), University of Antwerp, Groenenborgerlaan 171, Antwerp 2020, Belgium. 4Multidisciplinary Materials Research Center, Frontier Institute of Science and Technology, Xi’an Jiaotong University, Xi’an 710049, China. 5School of Materials Science and Engineering, University of New South Wales, Sydney, New South Wales 2052, Australia. 6CAS Key Laboratory of Materials for Energy Conversion, Department of Material Science and Engineering, University of Science and Technology of China, Hefei 230026, China. 7Department of Physics and State Key Laboratory for Low-Dimensional Quantum Physics, Tsinghua University, Beijing 100084, China. 8Department of Materials Science and Engineering, National Chiao Tung University, HsinChu 30010, Taiwan. 9National Center for Electron Microscopy, Lawrence Berkeley National Laboratory, Berkeley, California 94720, USA. 10Department of Materials Science and Engineering, University of California, Berkeley, California 94720, USA. *These authors contributed equally to this work. Correspondence and requests for materials should be addressed to J.Z. (email: jxzhang@bnu.edu.cn) or to X.K. (email: xiaoxing.ke@uantwerpen.be).
Shape-memory alloys (SMAs) offer a giant memorized mechanical response with a cycling strain of 0.5–8%\(^1\).\(^2\). The martensitic phase transformation, ascribed to the interplay of thermal, stress and/or magnetic fields, is responsible for the giant strain and shape memory\(^3\). SMAs have an indispensable role in various applications ranging from damping, mechanical joints, bio-engineering to medical applications and so on\(^4\)–\(^6\). To fulfill those functionalities, one of the most important goals that have been pursued for decades is the mechanical response under the external stimuli (electric field, magnetic field, temperature and stress)\(^7\). Apart from the popular alloys such as NiTi, one of the important and extensively studied model systems is Ni\(_2\)MnGa, where a magnetic-field-induced re-orientation of the martensitic twin walls can yield a strain as large as \(\sim 8\%\) (refs 8–10). However, alloy materials exhibiting a martensitic transformation by a two-step control such as temperature/stress usually suffer from numerous problems such as surface effects, oxidation and other instabilities, especially at the nanoscale\(^11\)–\(^16\). One possible solution would be the exploration of the large shape-memory effect (SME)/mechanical strain in an oxide material to enable integration with microelectronics. Microstructural analysis and theoretical studies predict that a martensitic behaviour may exist in functional oxides such as manganites\(^17\)–\(^19\) and piezoelectrics\(^20\). Piezoelectric oxides can usually exhibit a maximum electric-field-induced strain of \(\sim 1.7\%\) (refs 21, 22) with a large susceptibility\(^23\)–\(^24\) and frequency bandwidth of their mechanical response\(^25\)–\(^27\). Taking advantage of the rich phase diagram in conjunction with heteroepitaxial constraints, BiFeO\(_3\) (BFO) can be stabilized in multiple crystal phases\(^28\)–\(^33\), namely rhombohedral (R), tetragonal (T) or orthorhombic (O). Especially, the coexistence of the R/T mixture indicates the possible tailoring of its phase structures\(^34\). However, the existence of the phase boundaries or domain walls restricts the large strain value associated with the transformation between pure phases or orientations, which is also a common problem in other ferroics or relaxors. Although the transformation from pure rhombohedral phase to pure tetragonal phase in BFO was theoretically predicted to be possible, it is still technically ambiguous due to the required ultrahigh electric field (>20 MV cm\(^{-1}\)) (ref. 35), where ferroelectric oxides under such a high electric field will suffer from dielectric breakdown. Therefore, a natural question arises: can we find a way to realize the reversible, martensitic-like transformation between those pure polymorphs with a large SME, leading to a single-step control of the large recovery strain (>10%)?

Here we report that a martensitic-like phase transformation can be achieved in a ferroelectric oxide at the nanoscale. By reducing its degree of clamping from the substrate, pure R-phase within the R/T mixture of BFO has been stabilized as observed by size-dependent atomic force microscopy (AFM) images and micro-Raman spectra. Transmission electron microscopy (TEM) provides direct evidence for this phase transformation, demonstrating a SMA-like effect in this oxide with a full memorized strain of up to \(\sim 14\%\). Moreover, a reversible recoverable strain of \(\sim 12 \pm 2\%\) can be achieved by a single-step thermal activation or electric field with a large volumetric work density of up to 600 ± 90 J cm\(^{-3}\). These results show that a large SME at nanoscale can be obtained in an oxide material. Provided that the technical problems in devices such as hysteresis, fatigue, microcracking and so on can be avoided, this promising oxide material at its nanoscale may be potentially useful in nanomedical, energy storage or nanodamping applications\(^36\)–\(^37\).

**Results**

**Preparation of the unconstrained BFO structures.** BFO films have been grown on LaAlO\(_3\) (LAO) substrates with a thin (La,Sr)CoO\(_3\) bottom electrode (\(\sim 5\) nm), showing a nanoscale mixture of R/T phases. Direct observation of the thickness-dependent topography indicates that the R/T mixture has a highly strain-sensitive nature, as shown in Supplementary Fig. S1. Interestingly, external stimuli such as electrical/thermal activation can induce an interface movement (see Supplementary Figs S2 and S3), resembling a martensitic transformation in traditional SMAs. This first glimpse gives us a strong impetus to explore the SME in this oxide. One good way to study its complete phase transformation-related shape deformation and SME is the manipulation of its stress distribution on a clamped film with a phase mixture, which can be tailored by reducing the lateral size of the film using focused ion beam (FIB) milling. Figure 1a shows the typical image of the ion-milled BFO structures with a thickness of \(\sim 150\) nm to reduce the clamping from the substrate. A robust piezoresponsve force microscopy (PFM)-based switching (see Supplementary Fig. S4a,b) on such a FIB-milled sample indicates that ferroelectricity is maintained in this BFO structure. Before we study the stress-dependent structural transformation, we use continuum mechanics approaches to simulate the constraint profile of the BFO films as a function of lateral size\(^38\)–\(^39\).

The effective stress distribution (\(\sigma\)\(_{\text{effective}}\)) in the horizontal position (\(x\)) of the film is mainly determined by the aspect ratio (\(l, h\)), elastic constants of the film and substrate (\(E, v\)), Poisson ratio (\(v\)) and piezoelectric susceptibility (\(d_{33}\)), applied electric field (\(E_{\text{app}}\)) and so on, as in the following equations (1) and (2):

\[
\sigma_{\text{effective}} = \frac{E_f}{1 - v} \times (1 - \phi(l - x)) \times d_{33} E_{\text{app}}
\]

\[
k = \frac{3E_s (1 - v_r)}{2E_f (1 + v_r) h_i h_s h_e}
\]

Parameters for R- and/or T-BFO can be found elsewhere\(^40\),\(^41\).

The degree of clamping of BFO as a function of lateral size has been estimated as shown in Fig. 1b. The clamping from the substrate has been estimated to decrease for lateral dimensions around 10 μm and is mostly removed around 1 μm. The inset shows the relative stress distribution within the whole etched structure with different sizes. To probe the piezoelectric coefficient of such an unclamped ferroelectric thin film, a capacitor structure was fabricated with Pt top electrodes. Figure 1c shows the \(d_{33}\) as a function of AFM tip DC bias of pure R-phase BFO, constraint R/T-BFO and unconstraint R/T-BFO capacitors with a lateral size of 1 μm. We can observe a significant enhancement of the \(d_{33}\) of \(\sim 275 \pm 40\) pm V\(^{-1}\) in this stress-released capacitor, which is about twice the value of full-clamped capacitors (\(\sim 110 \pm 20\) pm V\(^{-1}\)).

![Figure 1d](https://example.com/fig1d.png)  
Figure 1d shows the phase loop during the measurement of the piezoresponse, indicative of a reversible ferroelectric switching process. The hysteresis phase loop and the complete piezo-amplitude curves (see Supplementary Fig. S4c) indicate that the high \(d_{33}\) can be reversibly obtained by sweeping the DC electric field. This large piezorespose further confirms that the mechanical constraint from the substrate has been reduced with the decrease of lateral size of the capacitor\(^39\).

**Size-dependent structural variation.** To study the structural transformation with the reduction of substrate clamping, AFM and micro-Raman spectra as a function of the lateral size of the \(\sim 150\)-nm-thick BFO film (among the largest thickness before the structure relaxes) are shown in Fig. 2. Figure 2a–d shows the surface topography of the BFO film in the fully clamped state (as-grown state) and lateral sizes of 10, 5 and 1 μm, respectively, as illustrated in the corresponding schematic insets. As seen in
these AFM images, the stripe-like structure in Fig. 2a is a typical characteristic of the R/T mixture, which is extensively studied elsewhere\textsuperscript{28}. On the basis of this knowledge, we imaged the topography of the structure with small sizes etched by FIB. The stripe-like feature starts to change when the lateral size is smaller than 10 μm, and gradually fades away on the 5 and 1 μm structures as seen in Fig. 2c,d. The dissolving stripe pattern typical for phase mixture gives us a first glimpse of the structural variation and the SME associated with the stress alteration of the BFO. However, the AFM results cannot help make a conclusive argument for the detailed phase transformation, namely, has the phase mixture transformed to pure R-phase or T-phase with reduction of the clamping?

We performed micro-Raman studies, as this technique has been shown to be sensitive to the different structural symmetries related to BFO\textsuperscript{42–44}. Measurements were performed on fully constrained films and FIB-milled structures with various sizes from 20 to 5 μm. Furthermore, we took advantage of the micro-Raman technique to characterize the structure of the FIB-milled R/T-BFO film before and after electric field switching using a scanning probe setup. As shown in Fig. 2e, the micro-Raman spectra for different sizes exhibit peaks at 223, 269 and 364 cm\textsuperscript{-1}, indicating the T-phase\textsuperscript{44}. The intensity of these peaks is found to decrease for smaller size, showing a decrease in the fraction of the T-phase. Moreover, after a poling (4 × 4 μm\textsuperscript{2} box in the 5-μm structure) by +20 V (≈1.2 MV cm\textsuperscript{-1}), the same Raman peaks at 223, 269 and 364 cm\textsuperscript{-1} are found to increase in intensity accompanying the big electric-field-induced SME. This indicates the presence of a larger fraction of the T-phase as compared with the unswitched sample. In constrained films, the peak positions for the R- and T-phase deviated far from the respective pure phases due to the substrate-clamping effect. For this reason, we can only observe a relatively low strain (≈5%) with external stimuli such as electric field or temperature in a constrained film as shown in Supplementary Figs S2 and S3.

Clamping-dependent phase transformation. The most straightforward way to observe the phase transformation of thin films in association with lateral clamping is through TEM. Therefore, high-angle annular dark field scanning TEM (HAADF-STEM) together with selected area electron diffraction was performed on the ~150-nm-thick BFO with the R/T mixture. A thin lamella of the cross-sectional sample was prepared by FIB milling. As seen in Supplementary Fig. S5, an area showing an array of stripe-like R/T mixture (region in the brown box) is milled out together with its substrate by FIB. To study its cross-sectional structure with a size gradient (to gradually reduce the degree of clamping from the substrate), we further milled this sliced electron-transparent sample into a wedge shape where the lateral thickness varies from ~300 nm down to ~50 nm as seen in the inset (a top view) of Fig. 3a.

The HAADF-STEM image of the as-prepared lamella is shown in Fig. 3. The typical stripe pattern of the R/T mixture is clear in the cross-sectional view of the 300-nm-thick area as shown in the right side in Fig. 3a; the dark area indicates the T-BFO, whereas the bright area indicates the R-BFO. From the cross-sectional view of the wedge-shaped area (indicated by yellow box in the inset of Fig. 3a), we can still observe that the stripe-like feature remains near the 300-nm-thick area and gradually disappears with the decrease of the thickness down to 50 nm (in the left side in Fig. 3a) in the TEM sample, which indicates a structural transformation from R/T mixture to a pure phase with less substrate clamping. We can also observe that the phase relaxation
starts from the top of the sample surface and down to the bottom of the film with the reduction of clamping, which is consistent with previous size-dependent AFM studies. The detailed atomic structure in the strain-relaxed region (50 nm thick) is shown in Fig. 3b, where a high-resolution TEM image reveals an out-of-plane lattice constant of 4.06 Å (calibrated to the LAO substrate), which clearly denotes the R-phase. This phase structure has been further confirmed by its corresponding selected area electron diffraction pattern in the inset. The phase boundary with R/T mixture is also confirmed by an atomic-resolution HAADF-STEM image shown in Fig. 3c.

The TEM results have shown that an SME from R/T mixture to pure R-phase in BFO can be tailored by its stress distribution. If the surface displacement associated with this martensitic-like structural transformation is carefully measured from the TEM image, we can directly observe a remnant strain of ~9% (shape...
memory from R/T mixture to pure R-phase). Along with the electric-field-/temperature-induced strain of ~5% (shape memory from R/T mixture to pure T-phase, see Supplementary Figs S2 and S3), we are able to obtain a full memorized strain of up to ~14%.

**In-situ TEM.** To accomplish a full cycle of the structural transformation that is analogous to the one found in traditional SMAs, the key issue would be whether this structural deformation or shape memory can be recovered. We use in-situ TEM with a temperature-controlled holder to demonstrate the repeatable cycling of this large SME. Figure 4a,c shows the TEM images and the diffraction pattern of relaxed pure R-BFO. When the temperature reaches 573 K, the T-phase starts to emerge, with a stripe-like phase coexistence as shown in Supplementary Fig. S6. When the oxide is further heated up to 673 K, the pure T-phase is completely recovered with a significant shape deformation (Fig. 4b) and an out-of-plane lattice constant of ~4.6 Å confirmed from the diffraction pattern (Fig. 4d). Relative to the relaxed R-BFO with an out-of-plane lattice constant of ~4.06 Å (obtained from diffraction pattern in Fig. 4c), the average recoverable strain can reach ~12 ± 2% (as seen in Fig. 4b) through temperature cycle. The reversibility of the structural transformation with a significant strain in this oxide is summarized in Fig. 4e, where the corresponding images can be seen in Supplementary Fig. S7, showing a single-step control of this large reversible SME. An animation of a nano-actuator with a large switchable deformation through temperature control is demonstrated as seen in Supplementary Movie 1.

To fulfill a room-temperature device with a high response frequency, we can also observe this large reversible SME (>10%) in the unconstrained structure driven by a moderate electric field of 1–2 MV cm\(^{-1}\), as shown in Supplementary Fig. S8. This effect is absent in an unconstrained PZT island structure (see Supplementary Fig. S9). On the basis of our full understanding of the electric field/stress and temperature of structural transformation, we are able to draw a quasi-quantitative deformation/recovery cycle attributed to electric field/temperature and stress as shown in Fig. 5a. This resembles the 'Detwinning', 'Heating', and 'Cooling' processes attributed to thermal and stress stimuli in traditional SMAs\(^{1-2}\). However, in metal-based alloys at the nanoscale, the surface and interfacial free energy have critical roles in the thermodynamic phase equilibrium and kinetic aspects of heterogeneous nucleation and growth, which means that a complex competition of strain/ interface energy are a prerequisite to fulfill such a phase transformation\(^{11-16}\). In our oxide nanosystem, the highly strained BFO film provides a good model system to realize the nanoscale control between elastic transformation, electric and interfacial energies, resulting in a SME with a large strain. Besides, the volumetric work density \((E_{\text{elastic}}/\varepsilon_{\text{max}}/2)\) are dependent on Young’s modulus \((E_{\text{elastic}})\) and the maximum strain \((\varepsilon_{\text{max}})\). The Young’s modulus (70 ± 10 GPa) of the film was assumed to be constant during the phase transformation (the mechanical test of the material can be seen in Supplementary Fig. S10), which provides an upper bound of 600 ± 90 J cm\(^{-3}\) for the average volumetric work density, as it is probable that the material softens during the transformation. This is almost two orders of magnitude larger than the one of traditional SMAs, electrostrictive polymers\(^{45}\) and so on.

**Discussion**

The physical origin of the martensitic-like shape-memory behaviour in this nanoscale oxide material and its large remnant strain has been further investigated by first-principles calculations. We have studied the interplay between structural transformation, polarization and tetragonal (c/a ratio) in a strained BFO, and their coupling to external electric field and mechanical stress.

Two stable phases, namely R-phase and T-phase, are found in BFO under compressive strain. The strain-dependent properties for both R- and T-phase of BFO are shown in Supplementary Fig. S11. A martensitic-like phase transformation can occur when compressive strain is approximately ~5%, accompanied by a significant enhancement of c/a ratio (1.08 for R and 1.26 for T) and out-of-plane polarization (P [001] = 0.82 for R-BFO and 1.41 C m\(^{-2}\) for T-BFO). These are consistent with previous results\(^{16,47}\). We further investigated how the structural deformation and transformation occurs under our experimental conditions. Figure 5b shows the evolution of the energy as a function of c/a ratio under a strain of ~5.8%. The two energy minima correspond to the stable R- and T-phase, respectively. The double-well-like energy curve suggests that both T- and R-phase can coexist in BFO, which is in good agreement with the
R/T mixture observed experimentally. By reducing the degree of clamping from the substrate, BFO films under smaller compressive strain will transform to the stable R-phase, instead of the metastable T-phase, and the transition from R- to T-phase will be unfavourable (Fig. 5c). Therefore, the transformation from the R/T mixture to the pure R-phase can be realized with the reduction of substrate clamping. By applying the electric field along the [001] direction (Fig. 5d), the stability of the T-phase can be largely enhanced. Again, the electric-field-induced SME can occur as a full cycle, accompanied by the structural transformation. We also obtain the exact relation between polarization and axial c/a ratio. Similar to tetragonal PbTiO$_3$ solid solutions, we found that P$_T$ is proportional to the tetragonality for both R- and T-phase around the transition region (inset of Supplementary Fig. S11). Such a strong coupling between polarization and c/a ratio also indicates that the abrupt change of out-of-plane polarization is always accompanied with strong structural deformations, which further suggests that such a large SME in this oxide is electrically controllable.

In principle, there should be no SME exhibited between R- and T-phases in oxide compounds such as (1-x)[Pb(Mg$_{1/3}$Nb$_{2/3}$)$_3$O$_3$] – x[PbTiO$_3$] and Pb(Zr,Ti)O$_3$, due to their low-symmetry nature with more variants at the same energy level. However, mechanical stress can favour a certain structural variant in elastic systems, which helps stabilize the pure R- and T-phase, as well as the R/T mixture in BFO. Therefore, a large shape deformation can be memorized due to the structural transformation from the interplay between stress, electric fields and even temperature. Another important information from the calculated result is that T-phase has a significantly higher energy than R-phase under a strain-relaxed state. This indicates that the transition from R to T is difficult under such a condition, and this may explain why BFO is a very ‘hard’ material under zero stress and it becomes ‘softer’ at the presence of suitable clamping stress. Besides, if a tensile stress can be applied normal to the film surface, the energy profile of this system suggests that the full SME with a strain of up to ~14% can be also achieved by a single-step external mechanical stimulus.

To conclude, we have demonstrated a large recoverable memorized strain of up to 14% in BFO at the nanoscale, driven by the interplay between electric field, temperature and stress. Analogous to the effect in conventional alloys, such a large SME, is for the first time observed in an oxide material. The martensitic-like phase transformation has been investigated by a combination of AFM, micro-Raman, TEM and first-principles calculations. A full cycling of the phase evolution can be also achieved by a single-step thermal activation with a reversible strain of ~12 ± 2%. Electric field can also be used to fulfill this reversible shape memory at room temperature with a volumetric work density of up to 600 ± 90 J cm$^{-3}$. This large SME coupled with the full insight of its nanoscale control may have great potential for future applications in nano-architectures.

**Methods**

**Detailed growth conditions.** Epitaxial BFO films with the mixture of T- and R-phase were grown on (001) LAO substrates by pulsed laser deposition with
(La, Sr)MnO$_3$ or (La, Sr)CoO$_3$ as bottom electrodes (NATURE COMMUNICATIONS | DOI: 10.1038/ncomms3768 ARTICLE). chromator, operated at 300 kV. The STEM convergence semi-angle used was for the imaging lens and another for the probe-forming lens, as well as a mono-
milled out on FEI Titan Cube 50–80 microscope fitted with an aberration corrector. First-principles calculations
are in the G-type ordered antiferromagnetic state and the spin-orbital coupling is not included. We sample the BZ using an 8$	imes$8$	imes$8 Monkhorst-Pack k-point mesh. A plane-wave energy cut-off value of 60 Ry was used for calculation. The electronic contribution to the polarizability is calculated following Berry phase formalism. A homogeneous finite electric field applied on BFO thin films is described through the modern theory of the polarization. We use the ‘strained bulk’ simulation to represent the strained BFO thin films. All strain values are given relative to the bulk LDA + U pseudo-cubic lattice parameter (3.965 Å). A 20-atom supercell, with lattice vector $l_1 = (a, 0, 0)$, $l_2 = (a, b, 0)$ and $l_3 = (0, 0, 2c)$, is used for simulation. Epitaxial strain is introduced by the mismatch of pseudo-cubic lattice constants between the substrate and BFO film. To simulate the epitaxial strained BFO, the (001)-oriented cubic substrate with an in-plane lattice constant ($a$) is fixed, whereas out-of-plane lattice parameter ($c$) and the monoclinic angle (the angle between lattice $l_1$ and $l_2$) are free to relax. The atomical positions in the BFO structure are optimized until the Hellmann–Feynman forces on the atoms are $<0.1$ meV Å$^{-1}$. 

References


Acknowledgements
The work in Beijing Normal University is supported by the National Science Foundation of China under contract numbers 51322007, 51332001 and 11274045. X.K. and G.V.T. are grateful to funding from the European Research Council under the Seventh Framework Program (FP7), ERC Advanced grant number 246791-COUNTATOMS. We thank Professor Dr Sandra Van Aert for helpful discussions. G.Y.G. and X.K. were supported by the National Basic Research Program of China, under contract number 2012CB619401, Natural Science Foundation of China (11204230) and National Supercomputer Center in Tianjin (NSCC). The work at National Chiao Tung University is supported by the National Science Council, R.O.C. (NSC-101–2119-M-009–003-MY2), Ministry of Education (MOE-ATU 101W961) and Center for Interdisciplinary Science of National Chiao Tung University. J.S. acknowledges support by the Australian Research Council (ARC) through a Future Fellowship (FT110100523). B.X. and A.M.M acknowledge the support of National Center for Electron Microscopy, under Contract DE-AC02-05CH11231.

Author contributions
J.Z. and X.K. initiated the study and contributed to all the probe-based and TEM experiments. G.G. contributed the first-principles calculations. J.S. provided the Raman data. B.X. and A.M.M. prepared the FIB samples for AFM measurement. P.Y., W.I.L. and N.Z. were involved in experiments design and data interpretation. J.Z., K.X., R.R., G.V.T. and R.R. co-wrote the paper. All authors were involved in the discussions.

Additional information
Supplementary Information accompanies this paper at http://www.nature.com/naturecommunications

Competing financial interests: The authors declare no competing financial interests.

Reprints and permission information is available online at http://npg.nature.com/reprintsandpermissions/

How to cite this article: Zhang, J. et al. A nanoscale shape memory oxide. Nat. Commun. 4:2768 doi: 10.1038/ncomms3768 (2013).